

THE LARA GROUP, LTD.

CONSUMER PRIVACY NOTICE

This notice is required by the federal law known as the Gramm-Leach-Bliley Act which mandates that we describe our client privacy practices regarding (1) how we treat the information we receive about individuals who apply for or obtain our services; and (2) third parties with whom we may share this information.

INFORMATION COLLECTED

“Nonpublic Personal Information” is information we obtain in connection with providing a financial product or service to you. We collect this information about an individual from the following sources:

Information provided to us on applications or other forms in connection with the product or service. This may include but not be limited to name, address, social security number, assets, and income.

Information about an individual’s transactions with others or us such as account balance, payment history, parties to a transaction, or credit/debit card usage.

INFORMATION DISCLOSURE TO THIRD PARTIES

We provide information about current or former clients to the following:

1. The clearing firm that provides trade clearance and settlement services.

WE DO NOT DISCLOSE ANY NONPUBLIC INFORMATION ABOUT OUR CLIENTS OR FORMER CLIENTS TO ANYONE, EXCEPT AS PERMITTED BY LAW, (e.g. responses to a subpoena, court order, regulatory demand, or your request to send your account information to a third party.)

SECURITY OF CLIENT INFORMATION

We continually strive to keep Client information accurate, secure and confidential. Our confidentiality and business ethics policies provide that all Client information is considered private and privileged. We restrict access to information about our Clients to those employees and authorized agents who need to know that information in order to provide products or services to you. We maintain physical, electronic and procedural safeguards that comply with federal standards to maintain the confidentiality of your information. We remain committed to protecting your financial privacy.